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| **Part XI: Annex II** | | |
| **FDI** | | |
| Details to be furnished along with application for compounding of contravention relating to Foreign Direct Investment in India | | |
| • | Name of the applicant |  |
| • | Date of incorporation |  |
| • | Income-tax PAN |  |
| • | Nature of activities under taken (Please give NIC code - 1987 / 2008) |  |
| • | Brief particulars about the foreign investor |  |
| • | Details of foreign inward remittances received by Applicant Company from date of incorporation till date |  |
| • | Copies of Balance Sheet during the period of receipt of share application money and allotment of shares |  |
| • | Nature of contravention and reasons for the contravention |  |

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| Table A | | | | | |
| Sl.No. | Name of Remitter | Total Amount (INR) | Date of Receipt | Reported to RBI on\* | Delay if any |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  | Total |  |  |  |  |
| \* date of reporting to RBI and not AD | | | | | |

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| Table B | | | | | |
| Name of Investor | Date of allotment of shares | Number of shares allotted | Amount for which shares allotted | Date of reporting to RBI\* | Delay if any |
|  |  |  |  |  |  |
|  | Total |  |  |  |  |
| \* date of reporting to RBI and not AD | | | | | |

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| Table C | | | | | | | |
| Sl. No. | Name of Remitter | Total Amount (INR) | Date of Receipt | Excess share application money | Date of refund of share application money | Amount in forex | RBI approval letter and date |
|  |  |  |  |  |  |  |  |
|  | Total |  |  |  |  |  |  |

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| Table D | | | | | |
| Authorised Capital | | | | | |
| Sl. No. | Date | Authorised Capital | With effect from | Date of Board meeting | Date of filing with ROC |
|  |  |  |  |  |  |
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| A= B+C |
| Please give supporting documents |
| Table A- Copies of FIRC with date stamp of receipt at RBI |
| Table B- Copies of FCGPR with date stamp of receipt at RBI |
| Table C - letter seeking refund/ allotment of shares- approval letter from RBI A2 form |

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| **ECB** | | | | |
| Details to be furnished along with application for compounding of contravention relating to External Commercial Borrowing | | | | |
| • | Name of the applicant | |  | |
| • | Date of incorporation | |  | |
| • | Income-tax PAN | |  | |
| • | Nature of activities under taken (Please give NIC code - 1987) | |  | |
| • | Brief particulars about the foreign lender | |  | |
| • | Is the applicant an eligible borrower? | |  | |
| • | Is the lender eligible lender? | |  | |
| • | Is the lender an equity holder? | |  | |
| • | What is the level of his holding at the time of loan agreement? | |  | |
| • | Details of ECB | |  | |
| • | Date of Loan agreement | |  | |
| • | Amount in Foreign Currency and Indian Rupee | |  | |
| • | Rate of interest | |  | |
| • | Period of loan | |  | |
| • | Repayment particulars | |  | |
|  | Date of draw down | Amount in Foreign Currency | | Amount in INR |
|  |  |  | |  |
| • | Details of draw down | |  | |
| • | Details of LRN Number- application and receipt | |  | |
| • | Details of ECB 2 returns submitted; Period of return: Date of submission | |  | |
| • | Details of Utilization of ECB in Foreign Currency and Indian Rupee | |  | |
| • | Nature of contravention and reasons for the contravention | |  | |
| • | All supporting documents may be submitted | |  | |

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| **ODI** | | |
| Details to be furnished along with application for compounding of contravention relating to Overseas Investment | | |
| • | Name of the applicant |  |
| • | Date of incorporation |  |
| • | Income-tax PAN |  |
| • | Nature of activities under taken (Please give NIC code - 1987) |  |
| • | Name of Overseas entity |  |
| • | Date of incorporation of overseas entity |  |
| • | Nature of activities under taken by overseas entity |  |
| • | Nature of entity- WOS/JV |  |
| • | Details of remittance sent- Date of remittance; Amount in FCY and in INR |  |
| • | Details of other financial Commitment |  |
| • | Details of UIN applied and received |  |
| • | Date of receipt of share certificate |  |
| • | Approval of other regulators if required |  |
| • | Details of APRs submitted: For the period ended; date of submission |  |
| • | Nature of contravention and reasons for the contravention |  |
| • | All supporting documents may be submitted |  |

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| **Branch Office / Liaison Office** | | |
| Details to be furnished along with application for compounding of contravention relating to Branch/Liaison Office in India | | |
| • | Name of the applicant |  |
| • | Date of incorporation |  |
| • | Income-tax PAN |  |
| • | Nature of activities under taken (Please give NIC code - 1987) |  |
| • | Date of approval for opening of Liaison Office/ Branch Office |  |
| • | Validity period of the approval |  |
| • | Income and expenditure of the LO/BO |  |
| • | Dates of submission of Annual activity Certificates |  |
| • | Nature of contravention and reasons for the contravention |  |
| • | All supporting documents may be submitted |  |